SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 07/17/2019 | | | | nent | 3. Issuer Name and Ticker or Trading Symbol <u>BASSETT FURNITURE INDUSTRIES INC</u> [BSET] | | | | | | |
|--|---------------|----------------|--|--------------------|--|---|---|-------|---|---|---|
| (Last) (First) (Middle) 3525 FAIRYSTONE PARK HWY P O BOX 626 | | · · · | | | | tionship of Reporting Perso all applicable) Director Officer (give title below) | on(s) to Issuer 10% Owner Other (specify below) | | 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) | | |
| (Street) BASSETT (City) | VA (State) | 24055 (Zip) | | | | SVP, Customer Experi | ience Off | С | X | | y One Reporting Person y More than One erson |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Common Stock | | | | | 1,500(2) | | D | | | | |
| Common Stock | | | | | 1 ,500 ⁽¹⁾ | | D | | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | | | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | d 3. Title and Amount of Secur Underlying Derivative Securi | | ty (Instr. 4) Conve or Exe | | rcise Form: | Ownership Form: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | | | Date Exercisable | Expiration Date | Title | | Amount or Number of Shares | Secur | ative | Direct (D) or Indirect (I) (Instr. 5) | |

Explanation of Responses:

1. Restricted stock granted under the amended and restated 2010 Stock Incentive Plan which is a Rule 16b-3 plan; vest 01/10/2021.

2. Restricted stock granted under the amended and restated 2010 Stock Incentive Plan which is a Rule 16b-3 plan; vest 01/11/2020.

<u>/s/ Kara A. Kelchner-Strong</u>

** Signature of Reporting Person

<u>07/22/2019</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.